DATE E-FILED: August 19, 2009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Young Nam KIM

Application No. 10/587,625

Filed: May 24, 2007

For: METHOD FOR THE PREPARATION

OF Y-BRANCHED CARBON

NANOTUBES

Art Unit: 1793

Confirmation No.: 3583

Examiner: MARTINEZ, Brittany M.

Atty. Docket: 03113.0002.PCUS00

Information Disclosure Statement

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-SB08 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-SB08 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. 1138 OG 37, 38 (May 19, 1992).

Applicants have checked the appropriate boxes below.

- 1. This Information Disclosure Statement is being filed; .
 - □ a. Within three months of the U.S. filing date of a national application other than a continued prosecution application under §1.53(d);
 - □ b. Within three months of the date of entry of the national stage as set forth in §1.491 in an international application;
 - c. Before the mailing date of a first Office Action on the merits;
 - □ d. Before the mailing of a first Office Action after filing of a request for continued examination under § 1.115.

No statement under 37 C.F.R. § 1.97(e) or fee is required.

or;

- □ 2. This Information Disclosure Statement is being filed after the period specified in paragraph 1(a)-1(d) above, but before the mailing date of a Final Rejection or Notice of Allowance, or action that otherwise closes prosecution in the application, and
 - □ a. I hereby state that each item of information contained in this Information

 Disclosure Statement was first cited in any communication from a

 foreign patent office in a counterpart foreign application not more
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 Statement. 37 C.F.R. § 1.97(e)(1), or

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 Statement was cited in a communication from a foreign patent
 office in a counterpart foreign application, and, to my knowledge
 after making reasonable inquiry, no item of information contained
 in this Information Disclosure Statement was known to any
 individual designated in 37 C.F.R. § 1.56(c) more than three
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 37 C.F.R. § 1.97(e)(2), or
- □ c. The U.S. Patent and Trademark Office is hereby authorized to charge our Deposit Account No. 08-3038 the amount of \$______ in payment of the fee under 37 C.F.R. § 1.17(p), referencing docket number
- □ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but on or before payment of the Issue Fee. Attached is our Check No. _____ in the amount of \$ _____ in payment of the fee under 37 C.F.R. § 1.17(i), and
 - □ a. I hereby state that each item of information contained in this Information

 Disclosure Statement was first cited in any communication from a

 foreign patent office in a counterpart foreign application not more
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 Statement. 37 C.F.R. § 1.97(e)(1), or
 - □ b. I hereby state that no item of information in this Information Disclosure

 Statement was cited in a communication from a foreign patent
 office in a counterpart foreign application, and, to my knowledge
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 37 C.F.R. § 1.97(e)(2).

□ 4.	Relevance of the non-English language document(s) is discussed in the present
	specification.
□ 5.	The document(s) was/were cited in a corresponding foreign application. An
	English language version of the foreign search report is attached for the
	Examiner's information.
□ 6.	A concise explanation of the relevance of the non-English language document(s)
	appears below:
□ 7.	The Examiner's attention is directed to co-pending U.S. Patent Application No.
	, filed, which is directed to related technical subject
	matter.
□ 8.	Copies of the documents marked with "*" in PTO Form SB08 were cited by or
	submitted to the Office in Application Nos, filed; and
	, filed; which are relied upon for an earlier filing date
	under 35 U.S.C. § 120. Thus, copies of these documents are not attached
	37 C.F.R. § 1.98(d).
	It is respectfully requested that the Examiner initial and return a copy of the
enclose	ed PTO-SB08, and to indicate in the official file wrapper of this patent application
that the	e documents have been considered.
	The U.S. Patent and Trademark Office is hereby authorized to charge any fee
deficie	ncy, or credit any overpayment, to our Deposit Account No. 08-3038 referencing
docket	number 03113.0002.PCUS00.
	Respectfully submitted,
	11-112-
Date:	August 19, 2009 Viola T. Kung, Ph.D. (Reg No. 41,131)
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